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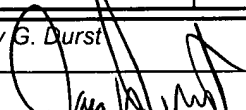
PTO/SB/18 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|--|--|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Attorney Docket No. 696.022 | |
| | | First Named Inventor HANS PETER BROGHAMMER ET AL. | |
| | | Title ADJUSTMENT DEVICE FOR A FINE MACHINING TOOL | |
| | | Express Mail Label No. EV313040704US | |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: MAIL STOP - PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 |
| 1. (X) Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. () Applicant claims small entity status See 37 CFR 1.27 3. (X) Specification [Total Pages -] (preferred arrangement set forth below, MPEP 1503.01) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure 4. (X) Drawing(s) (35 U.S.C. 113) [Total Sheets <u>8</u>] 5. (X) Oath or Declaration [Total Pages <u>1</u>] a. (X) Newly executed (original or copy) b. () Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 16 completed) i. () DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b). 6. () Application Data Sheet. See 37 CFR 1.76 7. () CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | 8. () Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. () Computer Readable Form (CRF) b. () Specification Sequence Listing on: i. () CD-ROM or CD-R (2 copies); or ii. () paper c. () Statement verifying identity of above copies 031283 U.S. PTO 10/696152 102903 ACCOMPANYING APPLICATION PARTS 9. (X) Assignment Papers 10. () 37 CFR 3.73(b) Statement (X) Power of Attorney (when there is an assignee) 11. () English Translation Document (if applicable) 12. () Information Disclosure Statement (IDS)/PTO-1449 () Copies of IDS Citations 13. () Preliminary Amendment 14. (X) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. () Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. () Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) - Applicant must attached form PTO/SB/35 or its equivalent 17. () Other: |
| 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: () Continuation () Divisional () Continuation-in-part (CIP) of prior application No: _____ Prior application information: Examiner Group / Art Unit: | |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | |
| 17. CORRESPONDENCE ADDRESS | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) 23598 or <input type="checkbox"/> Correspondence address below | |
| Name | Jay G. Durst |
| Address | 250 E. Wisconsin Avenue Suite 1030 |
| City | Milwaukee |
| State | WI |
| Zip Code | 53202 |
| Country | |
| Telephone | (414) 225-9755 |
| Fax | (414) 225-9753 |
| Name (Print/Type) Jay G. Durst Registration No. (Attorney/Agent) 41,723 | |
| Signature  Date October 29, 2003 | |

Burden Hour Statement: This form is estimated to take 0.7 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Design, Washington, DC 20231.

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Hans-Peter Broghammer et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 696.022 |

TOTAL AMOUNT OF PAYMENT **\$ 837.00****METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number

50-1170

Deposit Account Name

Boyle, Fredrickson, Newholm, Stein & Gratz S.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 770 | 201 | 385 | Utility filing fee | 770 |
| 106 | 340 | 206 | 170 | Design filing fee | |
| 107 | 530 | 207 | 265 | Plant filing fee | |
| 108 | 770 | 208 | 385 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) **(\$770.00)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 23 | 2 | 9 | 18 |
| Independent Claims | 0 | | 0 |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 86 | 202 | 43 | Independent claims in excess of 3 |
| 104 | 290 | 204 | 145 | Multiple dependent claim, if not paid |
| 109 | 86 | 209 | 43 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) **(\$-27.00-**

** or number previously paid, greater, For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee | Fee | Fee | Fee | | Fee Paid |
|-----|--------|-----|--------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

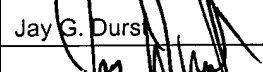
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|------------------|-----------|----------------|
| Name (Print/Type) | Jay G. Durs | Registration No. (Attorney/Agent) | 41,723 | Telephone | (414) 225-9755 |
| Signature |  | Date | October 29, 2003 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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INTELLECTUAL PROPERTY LAW

JAY G. DURST
DIRECT DIAL: 414-225-6300
JGD@BOYLEFRED.COM

October 29, 2003

EXPRESS MAIL

Mail Stop - Box Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal
Inventors: Hans-Peter Broghammer et al.
Title: Adjustment Device For A Fine Machining Tool
Priority: German Patent Appln. No. DE 20216739.9 filed October 29,
2002
Our Ref.: 696.022

Dear Sir:

Enclosed is our our authorization to charge our deposit account (No. 50-1170) the government filing fee (\$797.00) for the enclosed United States patent application, which application includes **21** pages of specification, **6** pages of claims, **15** Drawing figures on **12** sheets, and an Abstract. Also enclosed is and an executed Declaration and Power of Attorney document.

An Information Disclosure Statement (IDS) and an Assignment will be filed at a later date.

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

Jay G. Durst

Registration No. 41,723

23598

Certification Under 37 CFR 1.10

Express Mail Label No:EV313040704 US

Date of Deposit: **October 29, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the MAIL STOP - BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Heather S. Stutz

Heather S. Stutz